



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 1100

<b>SERIAL NUMBER</b> 09/811,684	<b>FILING DATE</b> 03/20/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> 13408.00007
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**

Ron Dembo, Toronto, CANADA;  
Alon Adar, Toronto, CANADA;  
Neil Edward Bartlett, Toronto, CANADA;  
Brian Parkinson, Toronto, CANADA;  
David Perry, Toronto, CANADA;  
Michael Zerbs, Markham, CANADA;

**\*\* CONTINUING DATA \*\*\*\*\*** *Yes HTD*  
THIS APPLICATION IS A CON OF 09/323,680 06/02/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*** *None HTD*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* 04/25/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> CANADA	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 29	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>HTD</i> Initials				

**ADDRESS**

27160

**TITLE**

Risk management system, distributed framework and method

<b>FILING FEE RECEIVED</b> 952	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 1100

<b>SERIAL NUMBER</b> 09/811,684	<b>FILING DATE</b> 03/20/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> 13408.00007
------------------------------------	---	---------------------	-------------------------------	---

## APPLICANTS

Ron Dembo, Toronto, CANADA;  
 Alon Adar, Toronto, CANADA;  
 Neil Edward Bartlett, Toronto, CANADA;  
 Brian Parkinson, Toronto, CANADA;  
 David Penny, Toronto, CANADA;  
 Michael Zerbs, Markham, CANADA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CON OF 09/323,680 06/02/1999

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 04/25/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CANADA	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 29	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

## ADDRESS

AIR MAIL

1059

## TITLE

Risk management system, distributed framework and method

<b>FILING FEE RECEIVED</b> 952	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit